

# the use of non-relativistic symmetries in relativistic electronic structure calculations

## relativistic wavefunctions symmetry:

- ray irreps of symmetry group  $\mathcal{G}$  or
- vector irreps of double group  $\overline{\mathcal{G}}$

**nonrelativistic limit:** spin-free  $H$   
 $\implies$  higher symmetry  $\mathcal{G} \times SU(2)$

“two-component” method

one-electron basis: spinorbital=orbital $\times$ spin

many-electron basis: Slater determinants

|   | <i>non-relativistic</i>                | <i>relativistic</i>   |
|---|--|---|
| dets with diff $S_z$                              | no interaction                         | interact  |
| dets with diff $\mathcal{G}$ symmetry             | no interaction                         | interact  |
| full symmetry adaptation ( $\longrightarrow$ CSF) | :(all) $\mathcal{G}$ irrep spin values | :only bosonic or only fermionic $\overline{\mathcal{G}}$ irreps |
| complex arithmetics                               | normally avoidable                     | unavoidable at least for some $\overline{\mathcal{G}}$          |

⇒ goal: to combine the use of non-relativistic symmetries at computational bottleneck with

- applicability to excited states
- correct electronic structure description for wide ranges of geometries including avoided crossing regions
- correct description of interferences (correlations) / (non-scalar relativistic effects), e.g.
  - correlation contraction → + SO splitting
  - spatial contraction / expansion of SO-stabilized / destabilized component → different correlation effects etc
- applicability to heavy/hyperheavy element compounds  
typical SO splittings  $\geq$  typical SO-free term separations

step #0: separation of the scalar part of relativistic Hamiltonian

$$H = \underset{\text{symmetry}}{h} + \underset{\text{symmetry}}{W}$$

$\mathcal{G} \times SO(2)$        $\bar{\mathcal{G}}$

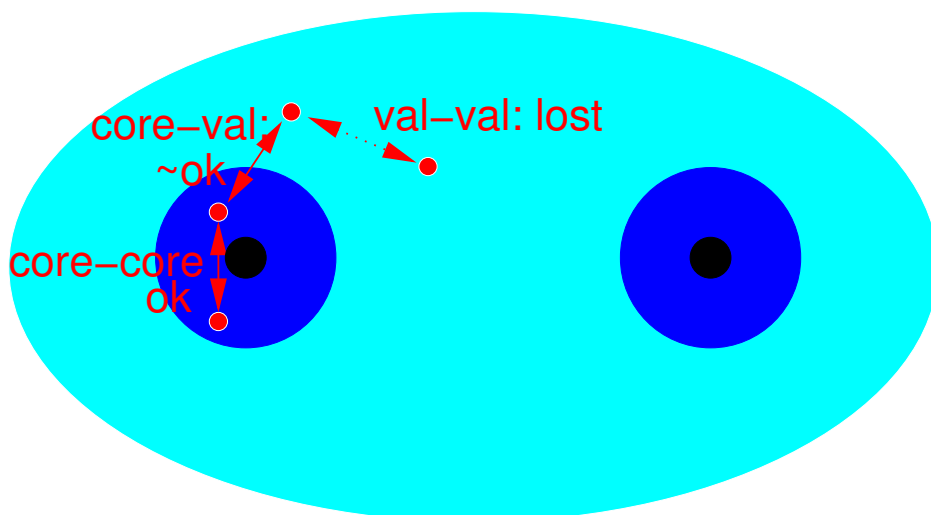
well defined for numerous types of  $H$  (e.g. for Dirac–Coulomb or Dirac–Breit Hamiltonians)

## suitable model: relativistic effective core potentials

$$H = \sum_i^{val\ el} (-\Delta_i/2 + U(i)) + \sum_{i>j}^{val\ el} \frac{1}{r_{ij}}$$

$$U = \sum_{\gamma}^{atoms} \sum_{l_{\gamma} j_{\gamma}} u_{\gamma}^{l_{\gamma}, j_{\gamma}}$$

- $u_{\gamma}$  ← four-component (fully relativistic) atomic calculations
- implicitly incorporates the bulk of effects appearing as *two-particle SO interactions* in all-electron two-component theories



- more stable numerically than all-electron two-component theories

## separation

$$U(i) = U^{Sc}(i) + U^{SO}(i)$$

*spin-averaged*
*spin-dependent*

$$H = h + W$$

*scalar relativistic*
*spin-orbit*

$$\mathcal{G} \times SO(2) \quad \bar{\mathcal{G}}$$

*symmetry*
*symmetry*

*1 & 2 - el*
*1 - el*

## General approach to the analysis:

model space  $\mathcal{L}_P$  projected by  $P$  ( $Q = 1 - P$ )

$$h = h_0 + v \quad (h_0 P = P h_0), \quad H = h_0 + v + W$$

$\implies$  double perturbation theory for effective Hamiltonian acting upon  $\mathcal{L}_P$

$$\begin{aligned}
 H_{eff} &= Ph_0P + PvP + PWP && 0 + 1^{st} \text{ ord } (PHP) \\
 &+ PvG[v] && 2^{nd} \text{ ord} \\
 &+ PvG[W] + PWG[v] && - " - \\
 &+ PWG[W] && - " - \\
 &+ PvG[vG[v]] - PvG[G[v]v] && 3^{rd} \text{ ord} \\
 &+ PvG[vG[W]] - PvG[G[v]W] && - " - \\
 &+ \dots
 \end{aligned}$$

$G[X] = G[QXP]$  — unperturbed resolvent

## interaction of spin-scalar states

(Ch. Teichteil; A. Alekseyev ...)

$$h\psi_m = E_m\psi_m$$

$\mathcal{L}_P$  spanned by few lowest (quasi)degenerate solutions:  $P = \sum_m |\psi_m\rangle\langle\psi_m|$

Lowest order  $H_{eff}$

$$H_{eff} = PHP = \sum_m |\psi_m\rangle E_m \langle\psi_m| + PWP$$

nearly all calculations are performed in NR symmetry

$$PvQ = 0, \quad G[v] = 0$$

$$\begin{aligned}
 H_{eff} &= Ph_0P + PvP + PWP && 0 + 1^{st} \text{ ord } (PHP) \\
 &+ \cancel{PvG[v]} && 2^{nd} \text{ ord} \\
 &+ \cancel{PvG[W] + PWG[v]} && - " - \\
 &+ PWG[W] && - " - \\
 &+ \dots
 \end{aligned}$$

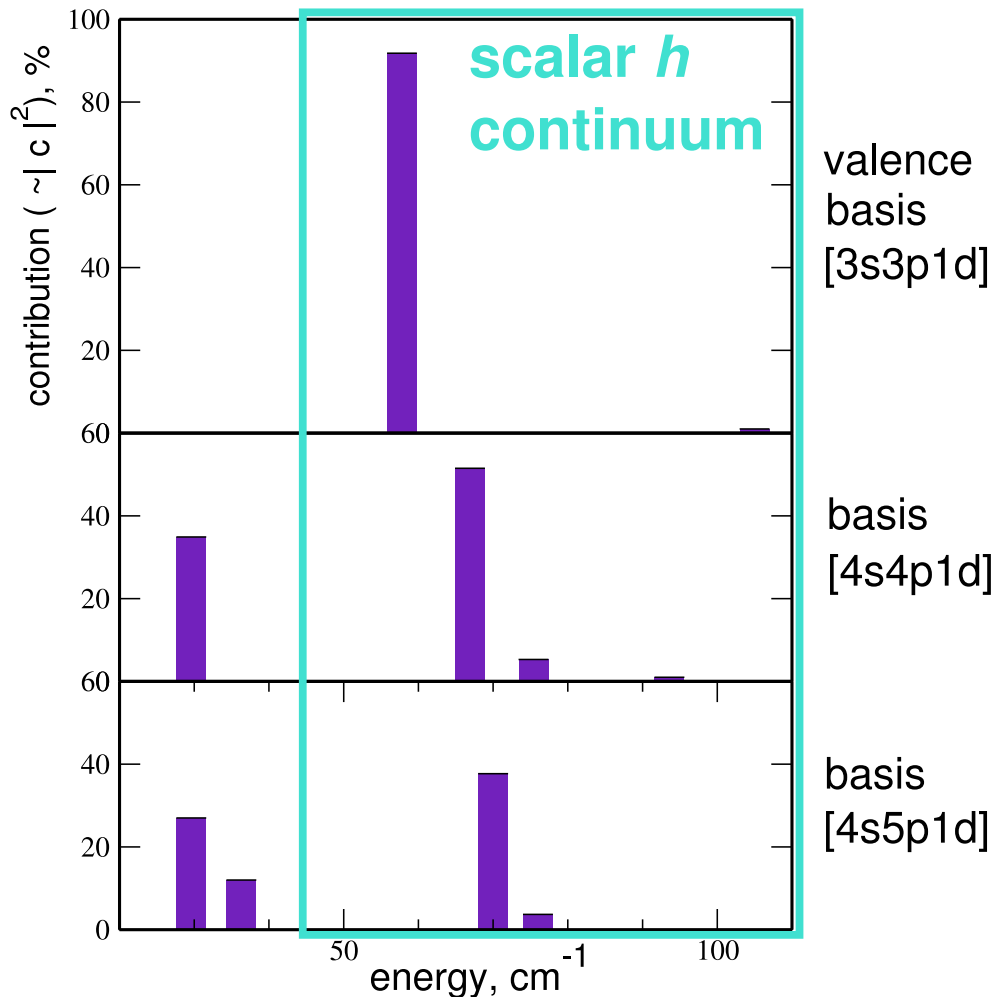
$\implies$  no  $2^{nd}$  order terms proportional to  $v^2$  or  $vW$

$\implies$  easily extendable to 2-body non-scalar Hamiltonians

$\implies$  works perfectly for rather weak SO interactions

**Strong SO case:** extend the  $\{\psi_m\}$  set ???

Tl atom,  $\Delta E(^2P_{3/2} - ^2P_{1/2})$ ,  $\mathcal{L}_P = \text{span}\{(1)^3P\}$   
ca.  $800 \text{ cm}^{-1}$  of  $7800 \text{ cm}^{-1}$  lost



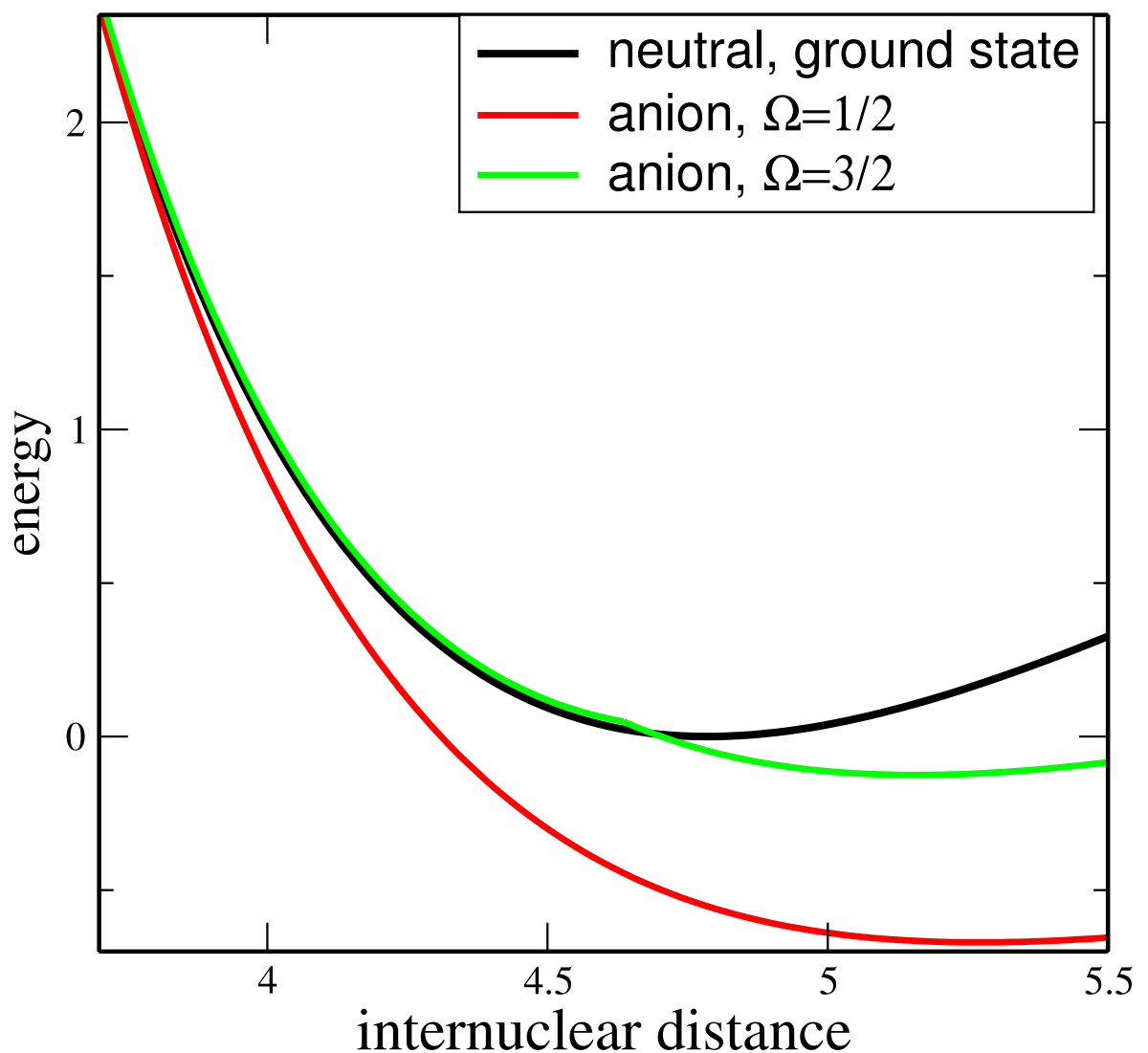
Contributions of higher scalar problem eigensolutions to full CI ground state function of Tl

$\implies$  "scalar eigenstates" of primary importance are embedded into the continuum

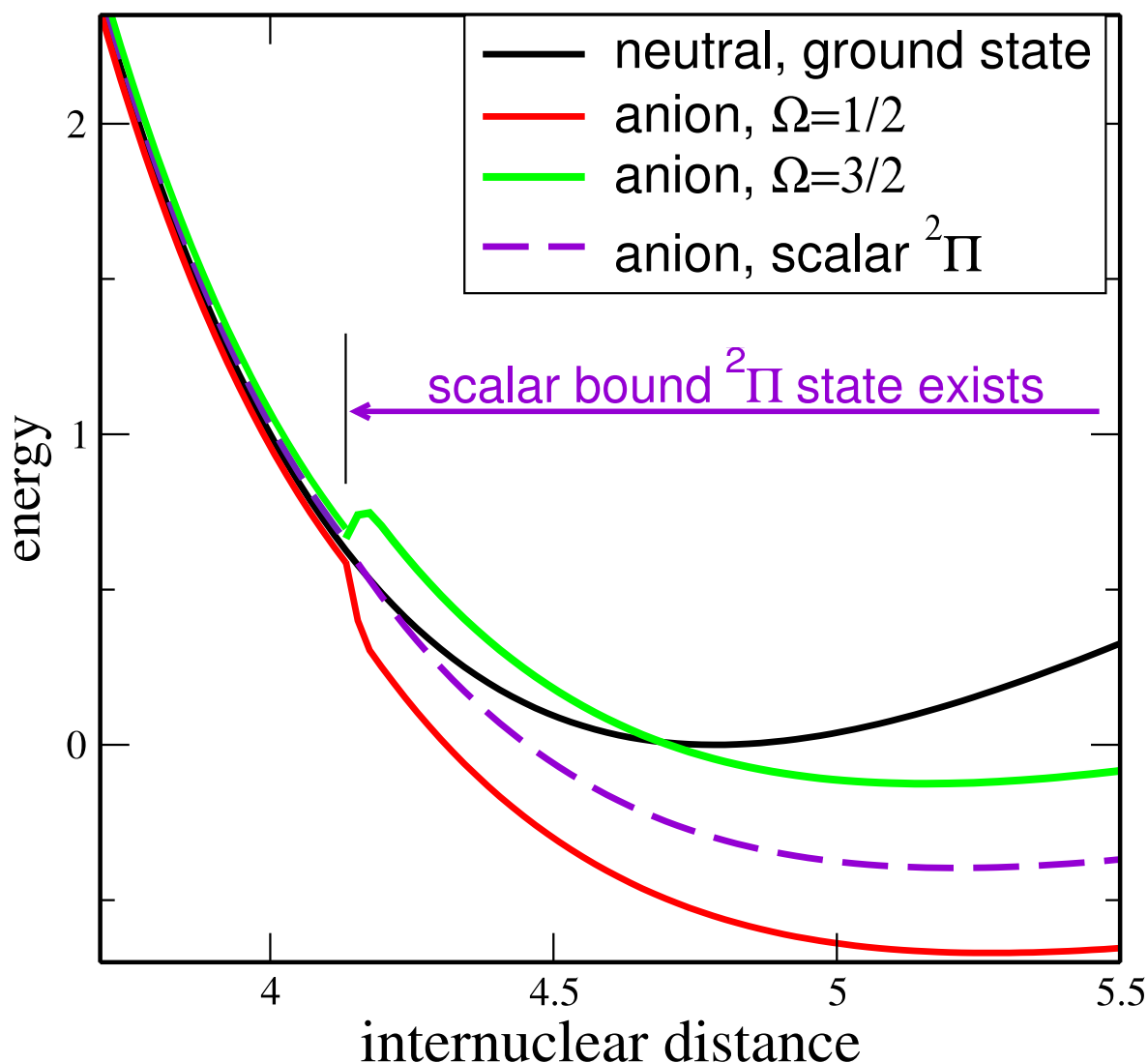
$\implies$  flexible basis set  $\longrightarrow$  we shall never reach these states

electron attachment to a closed-shell-like  
polar diatomic

anionic states (SO-split components of  $^2\Pi$ ) exist  
at large  $R$ ,  
neutral system + scattered electron at small  $R$



## interacting spin-scalar states approximation



- (-) spurious "bound 3/2 state" above threshold
- (-) splitting disappears when the scalar state becomes scattered
- (-) incorrect location of lowest state crossing

## making use of the one-body nature of $W$

model space: reference configurations (determinants) + single excitations

**basic approximation:**

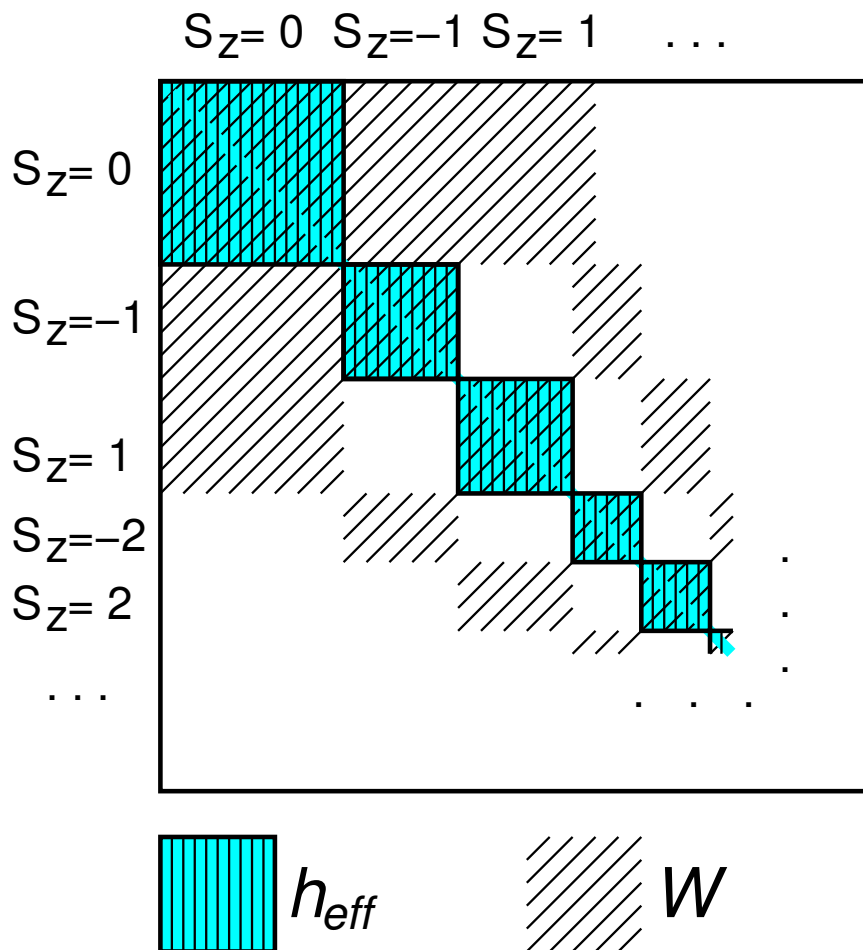
$$H \implies h + PWP$$

$$\begin{aligned}
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 &+ PvG[v] && 2^{nd} \text{ ord} \\
 &+ \cancel{PvG[W]} + \cancel{PWG[v]} && - " - \\
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 &+ \cancel{PvG[vG[W]]} - \boxed{PvG[G[v]W]} && - " - \\
 &+ \dots
 \end{aligned}$$

$$H_{eff} = h_{eff} + PWP - PvG[G[v]W] + \dots$$

(3<sup>rd</sup> and higher orders)

Structure of the  $H_{eff} = h_{eff} + PWP$  matrix in the basis of Slater determinants



- $h_{eff}$  is evaluated within the non-relativistic symmetry; each block consists of subblock if  $\mathcal{G}$  is non-trivial
- only  $h_{eff}$  block with  $S_z = 0$  should be computed, the remainder can be obtained by the action of  $\hat{S}$

diagonalization *after* incorporating correlations and SO

→ correlation/SO interferences o.k.

## problem:

wide spread of  $h_{eff}$  spectrum  $\implies$  few hopes to construct a good true (state-universal)  $h_{eff}$  because of *intruder states*

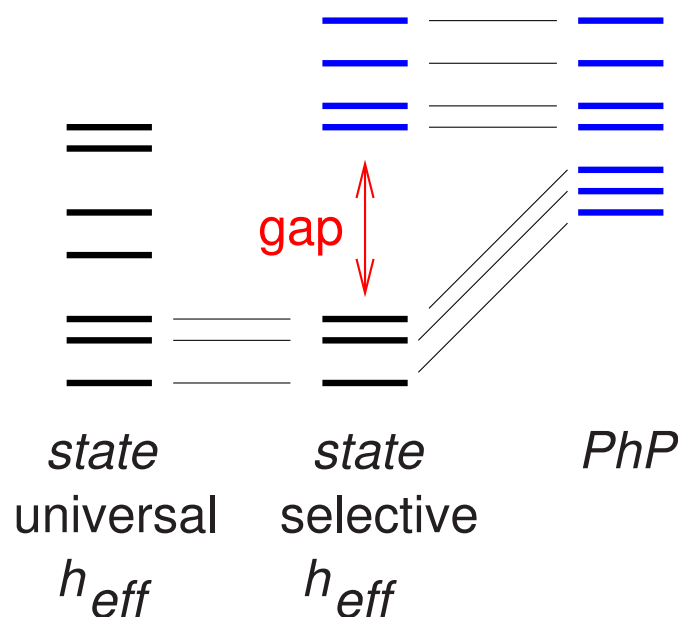
**solution (?)**: state-selective (intermediate)  $h_{eff}$  **but** the PT argumentation above is based on the state-universality ...

good but suspicious idea: "partial dressing"

naive version (ch.teichteil *et al.*, **epciso-2000**)

$$PhP\phi_m = e_m\phi_m \quad h\psi_m = E_m\psi_m$$

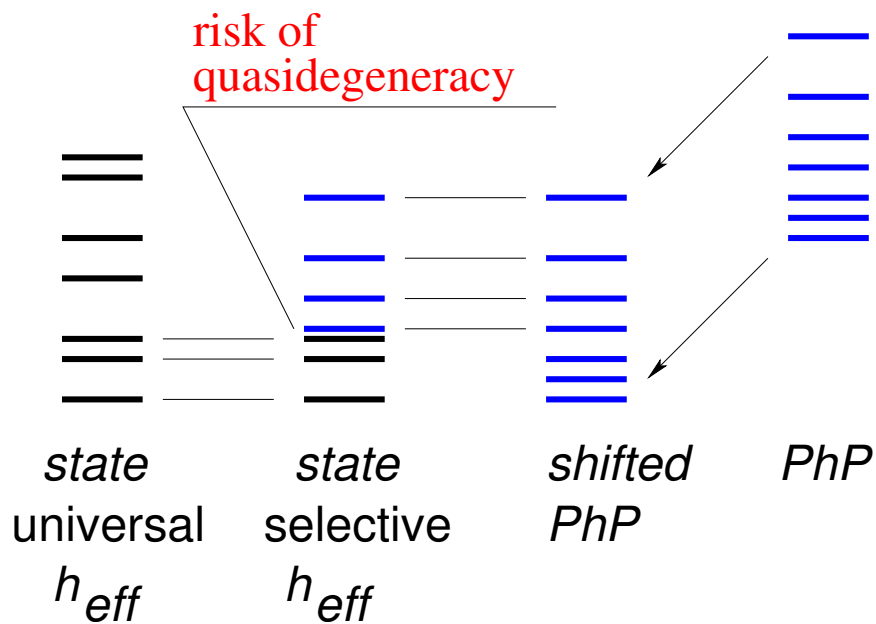
$$h_{eff} \approx PhP + \overset{\text{few lowest}}{\sum_m} |\phi_m\rangle(E_m - e_m)\langle\phi_m|$$



$H_{eff} = h_{eff} + PWP$ : the gap almost switches off "main" — "intermediate" SO interactions

improved version (f.rakowitz, I.seijo *et al.*, **sfss**)

$$h_{eff} \approx PhP + (E_{gr} - e_{gr})P + \sum_m^{few\ lowest} |\phi_m\rangle (E_m - E_{gr} - e_m + e_{gr}) \langle \phi_m|$$



dangerous gap replaced by the risk of dangerous quasidegeneracies

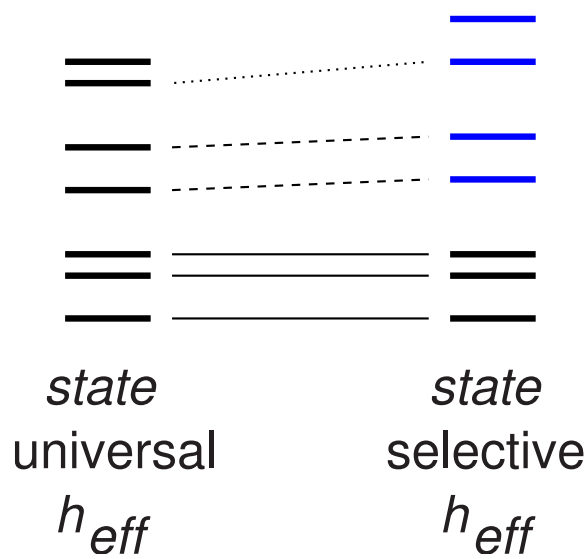
in both cases only eigenvalues are o.k.

eigenvectors are those of  $PhP$

- $\approx$ ok for atoms
- excited states of molecules: can ruin because of “main” — “intermediate” avoided crossing
- ...

$\implies$  adaptation to the case of strong correlation interference:  $\phi_m \rightarrow \psi_m$  (?)

**solution (!):** “dressed” state-selective  $h_{eff}$   
 resembling state-universal  $h_{eff}$



at least low-lying "intermediate" levels quite close to "exact" ones

## example: Feshbach-Löwdin Hamiltonian

$$h_{eff} = PhP + Ph(Q(E - H)Q)^{-1}HP$$

- (+)  $E$ -dependence is moderate
  - PT argumentation holds
  - quasi-equal-footing treatment of the states quasidegenerate with the ‘main’
- (-) one “main” level. multistate generalization is not easy to treat (zaitsevskii dement'ev 1990)
- (-) well suited only for Brillouin–Wigner PT construction

### alternative solutions

- state-selective “dressed”  $h_{eff}$  by model-space shifts (zaitsevskii heully 1992) → Rayleigh – Schrödinger expansions
- state-selective “dressed”  $h_{eff}$  by Rayleigh – Schrödinger – like multipartitioning PT (zaitsevskii malrieu 1997) → Rayleigh–Schrödinger expansions, accurate second-order results

research support from

Russian Foundation for Basic Researches  
(grant No. 03-03-32857)

thanks to

andrei dement'ev  
igor itkin  
christian teichteil  
anatoly titov

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